EPIC Funds plc

(an umbrella fund with segregated liability between sub-funds)

Application Form for Shares

Contents

Instructions

Please ensure that you have read the Prospectus, relevant Supplement and the relevant Key Investor Information Document (**KIID**) before completing this Application Form. Defined terms used in this Application Form are those used in the Prospectus and relevant Supplement unless the context otherwise requires.

Application Forms which do not contain compulsory information being a name, address and tax details and anti-money laundering (AML) verification documents shall be deemed incomplete. Incomplete Application Forms will be rejected. If an Application Form is rejected, the Administrator at the cost and risk of the applicant will, subject to any applicable laws, return application monies or the balance thereof, without interest, expenses or compensation by electronic transfer to the applicant's designated account or by post less any applicable bank charges where applicable.

This Application Form should be completed in advance of the relevant Dealing Deadline. In relation to any application for subscription, repurchase or exchange of shares of a Fund, the Dealing Deadline is the day and time specified in the Prospectus and relevant Supplement by which such application must be received by the Administrator on behalf of the Company in order for the subscription, repurchase or exchange of shares of the Fund to be made by the Company on the relevant Dealing Day in respect of the Fund. The Dealing Day of the Fund is each Business Day on which subscriptions for, repurchases of and exchanges of relevant shares can be made by the Company as specified in the relevant Supplement.

The Application Form together with full AML verification documents must be sent by email to the details below. In the case of a corporate entity, an authorised signatory list must be provided with this Application Form at the time of account opening for the investing entity in whose name the account is being opened and the Application Form must be signed by no less than two authorised signatories

Subsequent subscriptions stating your registration details and the amount to be invested by way of the Subscription Instruction (see Appendix 2) must be sent by email to the details below.

Any changes to the name, directors, address, controllers, beneficial ownership, declaration of residence and other tax details provided in your initial Application Form must be sent by email to the details below. In the case of a corporate entity, the changes should be provided on corporate letterhead and signed by two authorised signatories. In the case of natural persons, the changes should be signed by all account signatories.

Email and Mailing Address

EPIC Funds plc
c/o EPIC Investment Partners (Ireland)Limited
1H The Atrium
Blackpool Retail Park Cork, T23 T2VY
Ireland
E-mail: EPICIREdealing@epicip.com

Telephone: +353 (0) 21 2069213

Account Opening Form

Registered Name			
Account Designation (if any)			
Confirm whether: (a) listed/not listed; and (b) if subject to the requirements of the Transparency Directive[1] or equivalent standards regarding transparency of ownership (specifying applicable regime)[2]			
Registered Address (PO or C/O will not be accepted)			
Country			
Mailing Address (if different)			
Contact Name			
Contact Details	Telephone	Fax	Email

Joint Applicant(s)

Details of up to 3 additional holders may be added to the application. Please complete details in block capitals below.

First additional applicant details

Registered Name	

[1] Directive 2004/109/EC of the European Parliament and of the Council of 15 December 2004 on the harmonisation of transparency requirements in relation to information about issuers whose securities are admitted to trading on a regulated market and amending Directive 2001/34/EC.

[2] Note the Company in its sole discretion retains power to decide on equivalence of any other regime.

Registered Address PO or C/O will not be accepted						
Contact Name						
Contact Details	Telephone		Fax		Email	
Second additional applicant details						
Registered Name						
Registered Address PO or C/O will not be accepted						
Contact Name						
Contact Details	Telephone		Fax		Email	
Third additional applicant details						
Registered Name						
Registered Address PO or C/O will not be accepted						
Contact Name						
Contact Details	Telephone		Fax		Email	
*** Correspondence will only be sent to the first named applicant/correspondence address. Additional applicants will be required to provide confirmation of residential address details for AML verification purposes.*						

<table>
<tr><th colspan="6">Beneficial Owner</th></tr>
<tr><td colspan="6">☐ Same as account owner. (You <u>must</u> complete Part 2 of this section)
☐ Third party (account owner is an intermediary). (You <u>must</u> complete both Part 1 and Part 2 of this Beneficial Owner section)</td></tr>
<tr><td colspan="6">Part 1: Third Party and Intermediary Details
Complete ONLY if account owner is an intermediary</td></tr>
<tr><td>Third Party Beneficial Owner(s) Name(s)</td><td colspan="2"></td><td colspan="2">Name of Regulator where Intermediary is regulated</td><td></td></tr>
<tr><td>Type of Investor (Individual, Corporate, Pension Fund etc.)</td><td colspan="2"></td><td colspan="2">Confirm whether investor is:
(a) listed/not listed; and, if listed;
(b) subject to the requirements of the Transparency Directive[3] or equivalent standards regarding transparency of ownership (specifying applicable regime).[4]</td><td></td></tr>
<tr><td>Broker ID</td><td colspan="2"></td><td colspan="2">Branch ID</td><td></td></tr>
<tr><td>Broker Name</td><td colspan="5"></td></tr>
<tr><td>Broker Address</td><td colspan="5"></td></tr>
<tr><td>Representative Name</td><td colspan="2"></td><td colspan="2">Representative ID</td><td></td></tr>
<tr><td>Commission/ Trail Fee requirements</td><td colspan="5"></td></tr>
<tr><td>Contact Details</td><td>Telephone</td><td></td><td>Fax</td><td></td><td>Email</td></tr>
</table>

[3] Directive 2004/109/EC of the European Parliament and of the Council of 15 December 2004 on the harmonisation of transparency requirements in relation to information about issuers whose securities are admitted to trading on a regulated market and amending Directive 2001/34/EC.

[4] Note the Company in its sole discretion retains power to decide on equivalence of any other regime.

Part 2:	Beneficial Owners and the European Union (Anti-Money Laundering: Beneficial Ownership of Corporate Entities) Regulations 2016

Please tick here and proceed to complete "Bank Account Details for Redemption and Distribution Payments below" where the account holder, or, if the account holder is an intermediary, the third party beneficial owner is listed on a regulated market and subject to disclosure requirements consistent with Union law[5] or subject to equivalent standards which ensure adequate transparency of ownership information: ☐

Where sub-paragraphs (a) or (b) below are relevant, the following table must also be completed in order to enable the Company to comply with the European Union (Anti-Money Laundering Beneficial Ownership of Corporate Entities) Regulations 2016 (S.I. No. 560 of 2016) (the **Beneficial Ownership Regulations**). If you become aware that you are a "beneficial owner" of the Company (as that term is defined in the Beneficial Ownership Regulations) you have certain legal obligations to provide the Company with relevant information, in particular, any changes to the information below (including if you become aware that you have ceased to be a beneficial owner) (a **Relevant Change**). Please see section entitled "Declarations and Signatures" below in respect of the statutory obligations to the Company.

(a) If either: (i) the beneficial owner is a natural person, and is the same as the account holder; or, (ii) the third party beneficial owner is a natural person please provide all information below.

(b) If either: (i) the account holder named in "Account Registration Details" above; or, (ii) the third party beneficial owner named in Part 1 "Third Party and Intermediary Details" above is **not** a natural person, please provide the following information for:

- each shareholder (or equivalent) that is a natural person with at least 25% ownership or control of the subscribing entity; and

- where shareholder(s) (or equivalent) in the subscribing entity is/are an entity, any natural person(s) indirectly having at least 25% ownership or control of each relevant shareholder entity.

Name (First Name, Surname):	
Date of Birth:	
Nationality:	
Residential Address:	

[5] Including the Transparency Directive as defined in footnotes 1 and 3 above.

Statement of (i) the nature; and (ii) the extent of the interest in the Company held by the relevant natural person as beneficial owner:

For Intermediaries Only

Is this information being provided with the knowledge of the relevant natural person?
(Yes or No): _______________

If you cannot provide the information requested in this Part 2: Beneficial Owners and the European Union (Anti-Money Laundering: Beneficial Ownership of Corporate Entities) Regulations 2016 or any part(s) thereof, please (A) set out the reason(s); and (B) provide the particulars of any person likely to have knowledge of a relevant natural person, with confirmation as to whether this information is being provided with their knowledge.[6]

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[6] Please attach a continuation sheet if the space herein is insufficient.

Anti-Money Laundering – Know Your Customer Requirements

Under Irish legislation covering the taxation of savings and AML, including the Criminal Justice (Money Laundering and Terrorist Financing) Acts 2010 to 2018 (the **Act**), the Company and the Administrator are required to obtain the following documentation to verify the identity, permanent address and tax residency status of all Shareholders.

Please note that the application will not be accepted and redemption proceeds will not be processed until the Administrator is in receipt of the required AML verification documentation and the completed Application Form together with the banking details for the settlement of the redemption proceeds. Additional confirmation of identity or authority of the applicant or the source of funds may be required in certain circumstances.

Investors are required to notify the Company and the Administrator of any changes to the AML information which was previously provided for example changes in directors/controllers or beneficial owners.

Certification of Documents

Certified copies of personal identification documents and corporate documentation are required for certain identified investors. The Company and the Administrator also reserve the right to request any document(s) in original format where required for enhanced due diligence on high risk prospective Shareholders only.

Documents must be a 'certified true copy of original'. This means that there must be an original "wet ink" signature from a suitable person in relation to the document being certified.

Provided that the certifier is not a close family member of, or has any apparent or potential conflicts of interest with, the investor, a suitable persons for the purposes of certifying documents includes:

(i) an authorised signatory of a regulated financial or credit institution in an equivalent country listed at footnote 8;

(ii) a practicing solicitor/barrister/attorney/lawyer;

(iii) a notary public;

(iv) a practicing chartered or certified public accountant;

(v) a person authorised to sign on behalf of an embassy or consulate.

Certification of each document should include:

(i) A signed statement confirming that this document is a true and accurate copy of the original document as seen by the person certifying the document

(ii) The name (in block capitals), occupation and contact details (address, telephone number and email address) of the person certifying the document, as a true copy of the original document.

(iii) The date of certification of the document, as a true copy of the original document.

Where the document being certified as a true copy of the original document, contains a photograph, the person certifying the document as a true copy should also confirm that this a true likeness of the person named in the document.

The document being presented as a certified true copy of the original document must include a wet ink signature of the person certifying the document. That means scanned or photocopied versions of the document cannot be certified as a true copy of the original.

For multiple page documents, the certifier should sign or initial each page of the copy and confirm on the first or last page of the document the actual number of pages in the document.

Where available, the statement of certification as a true copy of the sighted original should be accompanied by the professional stamp or seal of the certifier.

English Translations

Where due diligence documents are provided in a language other than English, the prospective investor should, at the same time, provide a translation into English by a registered translator confirming the adequacy of the foreign language documents.

Part I – Investor Type

This section must be completed by all applicants

Please tick investor type below (Please note, investor type indicates the documents required to open account):

Private Company	☐	Charity	☐
Individual	☐	Pension Scheme	☐
Partnership	☐	Trust	☐
Listed Company	☐	Collective Investment Scheme/Fund	☐
Regulated Credit or Financial Institution	☐	Other – please specify ______________________	☐

Please confirm whether you are a Politically Exposed Person ☐

A Politically Exposed Person ('PEP') means an individual (or family member or close associate of such individual) who is, or has, at any time in the preceding 24 months, been entrusted with a prominent public function.

Due Diligence Documentation - Leverage Across Investments

The Administrator provides fund administration and related services to many different investment funds, and it is not uncommon for the same investor to be invested in multiple funds, none of which are in any way related to each other, but all of which receive services from the Administrator. Each of such funds is required to perform its own investor due diligence in accordance with the Act, even if the investor has already completed the due diligence in relation to one fund with the Administrator.

Similarly, it is not uncommon for an investor who invests in the funds to also be an investor in other investment funds that receive services from affiliates of the Administrator based in other jurisdictions (e.g. investment funds domiciled in the Cayman Islands that receive fund administration service or AML compliance service from affiliates of the Administrator in the Cayman Islands). Each of such investment funds is required to perform its own investor due diligence under the relevant laws, even if the investor has already completed the due diligence in relation to a fund with the Administrator.

If you wish to avoid or minimise receiving multiple requests for due diligence documentation in relation to your investment in multiple funds (i.e. different investment funds which are all administered by the Administrator) or other investment funds which are serviced by affiliates of the Administrator, you can choose one or both of the following options by ticking the relevant box(es) below.

Option 1: Opt in to leverage the same due diligence documentation for all funds.

If you select this option, the Administrator will reuse your due diligence documentation for the purpose of conducing due diligence for all investment funds that: (a) receive fund administration or other related services from the Administrator; and (b) you choose to invest in, regardless of whether or not they are related or affiliated in any way. However, the Administrator will not share your documentation with its affiliates and consequently, you may receive identical/similar requests for documentation from affiliates of the Administrator.

I select Option 1 and hereby authorise the Administrator to reuse all due diligence documentation I submit for the purpose of all other investments which I current hold and may hold in future across all funds that receive fund administration or other related services from the Administrator. ☐

AND/OR

Option 2: Opt in to leverage the same due diligence documentation for all other investment funds that receive services from any affiliate of the Administrator anywhere in the world.

If you select this option, any affiliate of the Administrator anywhere in the world will be permitted to ascertain whether or not you have already completed a due diligence in relation to any fund through the Administrator, and if you have done so, the Administrator will share the due diligence documentation you previously submitted with the relevant affiliates, so that they can use the same due diligence documentation to conduct due diligence for and facilitate the service they provide to the relevant investment funds which you to choose to invest in. Selecting Option 2 will prevent or minimise identical/similar requests for documentation that affiliates of the Administrator might otherwise make.

I select Option 2 and hereby authorise the Administrator to share all due diligence documentation I submit with any of its affiliates anywhere in the world, for the purpose of all investments which I current hold and may hold in future across all funds that receive fund administration or other related services from affiliates of the Administrator ☐

Please note that:

- Due diligence requirements can vary depending on various factors such as the type of investment fund, the category of investors you fall into, the jurisdiction in which the relevant investment fund is domiciled, and so on. Therefore, even if you select both Option 1 and Option 2, the Administrator and/or the relevant affiliate of the Administrator may still request additional due diligence documentation from you, where the original due diligence documentation obtained proves to be insufficient or inadequate.

- If you select Option 2, this will - if the relevant affiliate of the Administrator is located outside Europe - result in your personal information being transferred outside Europe to a jurisdiction where the applicable data protection law may not offer the same level of legal protection as the General Data Protection Regulation (Regulation 2016/679) (the **GDPR**).

- If you select either Option 1 or 2, the Administrator will be relying on your consent to reuse your personal information or to share your personal information with affiliates of the Administrator. Under the GDPR, you have the legal right to withdraw your consent by deselecting the option(s) you previously selected at any time. If you wish to deselect any option you have previously selected, please contact the Administrator and the Administrator will implement your choice as quickly as it can but please note that the Administrator may not be able to fully 'undo' what it has already done by relying on your consent.

Due Diligence Documentation – Ultimate Beneficial Owner

Ultimate Beneficial Owners are individuals who directly or indirectly hold ownership of 25% or more of the shares or voting rights in an entity, or otherwise exercise control over the management of the entity.

**If there is no natural person who owns 25% or more of the beneficial or controlling interests please state this on the form and provide details of director / GP / Managing Member or equivalent. If there are no natural persons who are, directors or equivalent fiduciary, please provide details of a member of senior management.*

Name	Residential Address	Percentage Ownership	Nationality	Date of Birth	Position	Occupation	Date entered as beneficial owner

Declaration - I/We declare that the information contained in this form and the attached documentation, if any, is true and accurate to the best of my/our knowledge and belief.

Signature 1 ______________________________

Print Name ______________________________

Date ______________________________

Signature 2 ______________________________

Print Name ______________________________

Date ______________________________

Part II – Due Diligence Requirements

Please provide the due diligence documentation outlined in this section.

Outlined below is guidance on the documentation which the Company and the Administrator will require when undertaking due diligence to establish the identity of an investor and verify same to the extent warranted by risk.

The Company and the Administrator hereby reserves the right to request such further information and/or documentation from investors as is necessary from time to time to satisfy Irish regulatory requirements.

Examples of Photographic Identity Documentation

- Current passport
- Current driving licence
- Current national identity card

Examples of Proof of Address

- Bank statements/credit card statements
- Utility bill (e.g. electricity, gas, television, landline phone)
- Household/motor insurance certificate and renewal notices
- Correspondence from a government body

All documentation must reflect the current residential address and must be dated within the previous 6 months (P.O. boxes are not acceptable).

The Administrator reviews the risks associated with all locations on a regular basis. The risk associated with a location may change over time. The Administrator reserves the right to apply a different risk rating than shown in this document.

Regulated Credit or Financial Institution

Where the applicant is a Regulated Credit or Financial Institution from one of the above referenced Equivalent Countries[7]:

1. Proof of regulation.
2. Details of any beneficial owners of 25% or more of the entity or details of the senior management officials if there are no natural persons owning 25% or more.
3. Certified copy of the authorised signatory list.

Where the applicant is a Regulated Credit or Financial Institution but is not regulated in an Equivalent Country please provide the following confirmations/documentation:

1. Certified copy of the Certificate of Incorporation or equivalent.
2. Certified copy of the Memorandum and Articles of Association or equivalent.
3. Registered legal address and principal business address of the company.

[7] Equivalent Countries (i.e. jurisdictions where a presumption of comparability with Ireland may be made) include Australia, Austria, Belgium, Canada, Czech Republic, Denmark, Finland, France, Germany, Greece, Guernsey, Hong Kong, Iceland, Ireland, Isle of Man, Italy, Japan, Jersey, Liechtenstein, Lithuania, Luxembourg, Netherlands, Norway, Poland, Portugal, Romania, Singapore, Slovakia, Spain, Sweden, Switzerland, United Kingdom, United States.

4. Certified copy of the register of directors of the company.
5. Certified copy of a valid photographic identity document and two certified/original proofs of address for two directors of the company.
6. Certified copy of the register of members detailing any Beneficial Owner beneficially entitled to more than 25% of the share capital, profit or voting rights or otherwise exercising control over the management of the company.
7. Certified copy of the register of the authorised signatory list.
8. Confirmation as to whether the company is investing on its own behalf or on behalf of underlying investors and (as applicable) confirmation of any underlying investor who is a Beneficial Owner of the proposed investment.
9. Certified due diligence documentation (by reference to the legal form of the entity) for any Beneficial Owner beneficially entitled to more than 25% of the share capital, profit or voting rights or otherwise exercising control over the management of the company.
10. Where the company is investing on behalf of underlying investors, certified due diligence documentation (by reference to the legal form of the investor) for any Beneficial Owner of 25% or more of the proposed investment.
11. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).

Nominee/Intermediary Company

Where the applicant is a Regulated Nominee Company of a Credit or Financial Institution from one of the above referenced Equivalent Countries:

1. Proof of regulation.
2. Letter of Assurance from the Regulated Credit or Financial Institution.
3. Details of any beneficial owners of 25% or more of the entity or details of the senior management officials if there are no natural persons owing 25% or more.
4. Certified copy of the authorised signatory list.
5. Wolfsberg Questionnaire

Where the applicant is an Unregulated Nominee Company of a Regulated Credit or Financial Institution from one of the above referenced equivalent countries please provide the following:

1. Letter of Assurance from the Regulated Credit or Financial Institution confirming the nominee is a wholly owned subsidiary of a regulated entity and that entities AML policies and procedures are applied to the nominee.
2. Proof of regulation for the Regulated Credit or Financial Institution.
3. Details of any beneficial owners of 25% or more of the entity or details of the senior management officials if there are no natural persons owning 25% or more.
4. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
5. Certified copy of the authorised signatory list.

OR

Please provide the following confirmations/documentation:

1. Full name of the Nominee Company.
2. Registered number of the Nominee Company.
3. Registered legal address and principal business address of the Nominee Company.
4. Certified copy of the register of directors of the Nominee Company.
5. Proof of regulation for the Regulated Parent Company..

6. Identify any beneficial owner of 25% or more of the investment through the Nominee Company.
7. Certified copy of the Certificate of incorporation or equivalent of the Nominee Company.
8. Certified copy of the Memorandum and Articles of Association or equivalent of the Nominee Company.
9. Certified copies of a Photographic identity document and proof of address for two directors or one director and one authorised signatory of the Nominee Company.
10. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
11. Certified copy of the Authorised signatory list.

Where the applicant is domiciled in a non-equivalent country the following is also required:

12. Second certified/original proof of residential address for two directors or one director and one authorised signatory.
13. Certified due diligence documentation (by reference to the legal form of the entity) for any Beneficial Owner of 25% or more of the Nominee Company.

Listed Company

Where the applicant is a company listed on a regulated financial market in a European Economic Area (EEA) State or a regulated financial market in a place other than an EEA State, being a place that imposes on companies whose securities are admitted to trading on the market disclosing requirements consistent with legislation of the European Communities:

1. Proof of stock exchange listing.
2. Certified copy of the authorised signatory list.

Individuals (including Joint Account Holders)

Where the applicant is an Individual domiciled in an equivalent country please provide the following documentation:

1. Certified copy of the a valid photographic identity document.
2. Certified/original copy of a proof of residential address dated within the past 6 months.
3. Details of Source of Wealth (SOW) for the account holder.

Where the applicant is an individual domiciled in a non-equivalent country please provide the following documentation:

1. Certified copy of the a valid photographic identity document.
2. Two certified/original proofs of residential address dated within the past 6 months.
3. Details of Source of Wealth (SOW) for the account holder.

In the case of joint account holders, please provide documents in respect of all holders.

Private and Unlisted Company

Where the applicant is a Private Company/Company not listed on a regulated financial market please provide the following confirmations/documentation:

1. Full name of the company and registered legal address and principal business address of the Company.
2. Certified copy of the Certificate of Incorporation or equivalent.
3. Certified copy of the Memorandum & Articles of Association or equivalent.
4. Certified copy of the register of directors of the company.
5. Certified copy of a photographic identity document and a certified/original proof of address for two directors or one director and one authorised signatory of the company.
6. Certified copy of the register of members detailing any beneficial owners who own more than 25% of the share capital, profit or voting rights or exercise control over management of the company.
7. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
8. Certified copy of the register of authorised signatory list.

Where the applicant is domiciled in a non-equivalent country the following is also required:

9. Certified/original copy of a second proof of residential address for two directors or one director and one authorised signatory.
10. Certified due diligence documentation (by reference to the legal form of the entity) for any Beneficial Owner beneficially entitled to more than 25% of the share capital of the company.

Private and Unlisted Company

Where the applicant is a Private Company/Company not listed on a regulated financial market please provide the following confirmations/documentation:

1. Full name of the company and registered legal address and principal business address of the Company.
2. Certified copy of the Certificate of Incorporation or equivalent.
3. Certified copy of the Memorandum & Articles of Association or equivalent.
4. Certified copy of the register of directors of the company.
5. Certified copy of a photographic identity document and a certified/original proof of address for two directors or one director and one authorised signatory of the company.
6. Certified copy of the register of members detailing any beneficial owners who own more than 25% of the share capital, profit or voting rights or exercise control over management of the company.
7. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
8. Certified copy of the register of Authorised signatory list.

Where the applicant is domiciled in a non-equivalent country the following is also required:

9. Certified/original copy of a second proof of residential address for two directors or one director and one authorised signatory.
10. Certified due diligence documentation (by reference to the legal form of the entity) for any Beneficial Owner beneficially entitled to more than 25% of the share capital of the company.

Limited Liability Company (LLC)

Where the applicant is a Limited Liability Company (LLC) in an equivalent country please provide the following confirmations/documentation:

1. Full name of the LLC and registered legal address and principal business address of the LLC.
2. Certified copy of the Certificate of Formation or equivalent.
3. Certified copy of the LLC/operating agreement.
4. Certified copy of the register of managing members/managers of the LLC.
5. Certified copy of a photographic identity document and certified/original proof of address for two managing members or one managing member and one authorised signatory of the LLC.
6. Certified copy of the register of members detailing any Beneficial Owners of 25% or more of the LLC.
7. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
8. Certified copy of the authorised signatory list.

Where the applicant is domiciled in a non-equivalent country the following is also required:

9. Certified/original copy of a second proof of residential address for two directors or one director and one authorised signatory.
10. Certified due diligence documentation (by reference to the legal form of the entity) for any Beneficial Owner beneficially entitled to more than 25% of the share capital of the LLC.

Partnership

Where the applicant is a Partnership the following confirmation/documentation should also be provided:

1. Full name and registered legal address and principal business address of the Partnership.
2. Certified copy of the certificate of partnership.
3. Certified copy of the partnership agreement.
4. Certified copy of the register of partners.
5. Details of the general partner of the Partnership and certified due diligence documentation for such general partner (by reference to the legal form of such general partner).
6. Certified copy of photographic identity document and a certified/original proof of address for two partners (including the general partner) or one partner and one authorised signatory.
7. Certified copy of the register of partnership interests detailing any Beneficial Owners of 25% or more of the Partnership.
8. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s)
9. Certified copy of the authorised signatory list

Where the applicant is a Partnership located in a non-equivalent country the following documentation should also be provided:

10. Certified/original copy of a second proof of residential address for two directors or one director and one authorised signatory.
11. Certified due diligence documentation (by reference to the legal form of the entity) for any Beneficial Owner beneficially entitled to more than 25% of the share capital of the Partnership.

Trust

Where the applicant is a trust domiciled in an equivalent country please provide the following confirmations/documentation:

1. Full name of the Trust and registered address of the Trust.
2. Certified copy of the trust deed or equivalent.
3. Confirmation of the nature/purpose of the Trust.
4. Details of the trustees and protector (if any).
5. Details of the settlor/grantor.
6. Certified copy of a valid photographic identity document and certified/original proof of address for two trustees or one trustee and one authorised signatory of the Trust.
7. Details of all beneficial owners with a vested interest in the trust assets.
8. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
9. Certified copy of the authorised signatory list.

Where the applicant is a trust domiciled in a non-equivalent country the following confirmations/ documentation should also be provided:

10. Certified/original copy of a proof of residential address for two directors or one director and one authorised signatory.
11. Certified copy of a valid photographic identity document and two certified/original proofs of address for the settlor.
12. Certified copy of a valid photographic identity document and two certified/original proofs of address for any beneficial owners with a vested interest in the trust assets.

Government / Public Body

Where the applicant is a Government Body or Public Authority in an equivalent country please provide the following confirmations/documentation:

1. Full name of the government body or public authority.
2. Nature and status of the government body or public authority.
3. Registered legal address of the government body or public authority.
4. Details of the home state of the public authority and details of the nature of its relationship with the public authority.
5. Details of the ownership of the public authority.
6. Names of the main officials of the government body or public authority.
7. Appropriate background information on the government body or public authority.
8. Certified copy of the authorised signatory list.

Pension Scheme

Where the applicant is an Employee/Superannuation or similar scheme in an Equivalent Country please provide the following confirmations:

1. Confirmation that the Employee/Superannuation (or similar scheme) provides for retirement benefits to employees.
2. Confirmation that contributions of the Employee/Superannuation scheme are made by deduction from wages.

3. Confirmation that the rules of the Employee/Superannuation scheme do not permit a member's interest under the scheme to be re-assigned.
4. Proof of registration/regulation for the pension plan.
5. Certified copy of the authorised signatory list.

Where the applicant is not an Employee/Superannuation or similar scheme in one of the above referenced Equivalent Countries please provide the following confirmations/documentation:

1. Full name and address of the pension scheme.
2. Confirmation of registration of the pension scheme (as appropriate) from the relevant tax authorities or pensions board.
3. Certified copy of the Constitutional & Formation documents of the pension scheme.
4. Certified copy of the register of trustees/controllers.
5. Certified copy of the authorised signatory list.

Where the applicant is domiciled in a non-equivalent country the following is also required:

6. Certified copy of proof of identification and two certified/original proofs of residential address dated within the past 6 months for two controllers.
7. Written confirmation from the entity carrying out AML/CTF controls on scheme investors.
8. Certified identity documents for the scheme administrator.

Collective Investment Scheme (CIS)

Where the applicant is a CIS listed on a regulated financial market in an equivalent country, being a place that imposes on companies whose securities are admitted to trading on the market disclosing requirements consistent with legislation of the European Communities.

1. Proof of listing.
2. Certified copy of the authorised signatory list.

Where the CIS is not either listed on a regulated financial market (as above) or regulated as a designated person in an Equivalent Country please provide the following confirmations/documentation:

1. Full name of the CIS.
2. Registered address of the CIS.
3. Certified copy of the Certificate of Incorporation or equivalent.
4. Certified copy of the Memorandum and Articles of Association or equivalent.
5. Certified copy of the Prospectus or equivalent of the CIS.
6. Name and address of the promoter of the CIS.
7. Name and address of the administrator of the CIS.
8. Name and address of the entity carrying out due diligence on the investors in the CIS and confirmation that this entity is regulated for AML purposes.
9. Names of any investors (Beneficial Owners) who own more than 25% of the share capital of the CIS.
10. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
11. Certified copy of the authorised signatory list.

Where the applicant is domiciled in a non-equivalent country, the following is also required:

12. Certified copy of a second proof of residential address for two directors or one director and one authorised signatory.
13. Details of the AML policies and procedures from the entity carrying out AML/CTF controls on scheme investors.
14. Certified due diligence documentation (by reference to the legal form of the entity) for any Beneficial Owner beneficially entitled to more than 25% of the share capital of the CIS.

Charity

Where the applicant is a registered charity in an equivalent country please provide the following confirmations/documentation:

1. Certified copy of the formation document (e.g. certificate of incorporation/formation).
2. Certified copy of the constitutional document (e.g. trust deed or equivalent).
3. Confirmation of the nature/purpose of the Charity with details of how the Charity is funded.
4. Details of the Trustees/controllers of the Charity.
5. Confirmation from the relevant charities registrar.
6. Certified copy of the latest audited financial statements.
7. Certified copy of the authorised signatory list.

Where the applicant is a charity domiciled in a non-equivalent country the following documentation should also be provided:

8. Certified copy of a valid photographic identity document and two certified/original proofs of address for two Trustees/controllers or one Trustee/controller and one authorised signatory.
9. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s) where applicable.

Other

Where the applicant does not fall under the previous entity types and is domiciled in an equivalent country please provide the following confirmations/documentation:

1. Registered legal address and principal business address of the entity.
2. Confirmation of the legal status of the entity.
3. Certified copy of the formation document for the entity e.g. certificate of formation/registration
4. Certified copy of the constitutional document e.g. by-laws.
5. Details of the controllers of the entity.
6. Certified copy of a valid photographic identity document and certified/original proof of address for two controllers or one controller and one authorised signatory.
7. Details of any beneficial owners of 25% or more of the entity.
8. Details of Source of Wealth (SOW) for the ultimate beneficial owner(s).
9. Certified copy of the authorised signatory list.

Where the applicant entity is domiciled in a non-equivalent country the following is also required:

10. Certified copy of a second proof of residential address for two controllers or one controller and one authorised signatory.
11. Certified valid photographic identity document and two certified/original proofs of address for such Beneficial Owner(s) who owns or controls over 25% of the entity’s share capital, profit or voting rights.

Declaration of Residence outside Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

Declaration on Own Behalf

I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that

- I am/we are/the company is* not currently Resident or Ordinarily Resident in Ireland, and
- should I/we/the company* become Resident in Ireland I will/we will* so inform you, in writing, accordingly.

Declaration as Intermediary

I/we* declare that I am/we are* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares; and,
- who, to the best of my/our* knowledge and belief, are neither Resident nor Ordinarily Resident in Ireland.

I/we* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and,
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds Shares, becomes Resident in Ireland.

**Delete as appropriate*

IMPORTANT NOTES

Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.

To be valid, the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by its company secretary or another authorised officer.

If the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

Definitions

Intermediary

An “Intermediary” means a person who

(i) carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in the State on behalf of other persons; or

(ii) holds units in an investment undertaking on behalf of other persons.

Residence - Individual

An individual will be regarded as being resident in Ireland for a tax year if s/he:

(i) spends 183 days or more in the State in that tax year; or

(ii) has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year

Presence in a tax year by an individual of not more than 30 days in the State will not be reckoned for the purpose of applying the two-year test. Up to 31 December 2008, presence in the State for a day meant the personal presence of an individual at the end of the day (midnight). From 1 January 2009, presence in the State for a day means the personal presence of an individual at any time during the day.

Ordinary Residence - Individual

The term “ordinary residence” as distinct from “residence” relates to a person’s normal pattern of life and denotes residence in a place with some degree of continuity.

An individual who has been resident in the State for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year.

An individual who has been ordinarily resident in the State ceases to be ordinarily resident at the end of the third consecutive tax year in which s/he is not resident. Thus, an individual who was resident and ordinarily resident in the State in 2008 and departed from the State in that tax year will remain ordinarily resident up to the end of the tax year in 2011.

Residence - Company

Prior to Finance Act 2014, company residence was determined with regard to the long-established common law rules based on central management and control. These rules were significantly revised in Finance Act 2014 to provide that a company incorporated in the State will be regarded as resident for tax purposes in the State unless it is treated as resident in a treaty partner country by virtue of a double taxation treaty. While the common law rule based on central management and control remains in place, it is subject to the statutory rule for determining company residence based on incorporation in the State set out in the revised section 23A TCA 1997.

The new incorporation rule for determining the tax residence of a company incorporated in the State will apply to companies incorporated on or after 1 January 2015. For companies incorporated in the State before this date, a transition period will apply until 31 December 2020.

Declaration referred to in Section 739D(6) Taxes Consolidation Act 1997

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares / units.

- I declare that the information contained in this declaration is true and correct.
- I also declare that I am applying for shares / units on behalf of the applicant named below who is entitled to the units in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act 1997, being a person who is:

(please tick √ as appropriate)

- a pension scheme ☐
- a company carrying on life business within the meaning of section 706 TCA 1997 ☐
- an investment undertaking ☐
- an investment limited partnership ☐
- a special investment scheme ☐
- a unit trust to which section 731(5)(a) TCA 1997 applies ☐
- a charity being a person referred to in section 739D(6)(f)(i) TCA 1997 ☐
- a qualifying management company for the purposes of Finance Act 2010 entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA 1997* or by virtue of section 848E TCA, 1997* (see further requirements for Qualifying Fund Manager below) ☐
- **Delete as appropriate (Please see below for important information)*
- a PRSA Administrator ☐
- a credit union within the meaning of section 2 of the Credit Union Act 1997 ☐

Additional requirements where the declaration is completed on behalf of a Charity
➢ I also declare that at the time of making this declaration, the units in respect of which this declaration is made are held for charitable purposes only and: ❖ form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or ❖ are, according to rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners. I undertake that, in the event that the person referred to in paragraph (7)(d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.
Additional requirements where the declaration is completed by a qualifying fund manager / qualifying savings manager / PRSA Administrator
➢ I/we* also declare that at the time of making this declaration, the units in respect of which this declaration is made: ❖ are assets of an approved retirement fund / an approved minimum retirement fund*, a special savings incentive account or a PRSAA, and ❖ are managed by the Declarant for the individual named below who is beneficially entitled to the units. ➢ I/we* undertake that, if the units cease to be the assets of the *approved retirement fund / the approved minimum retirement fund, a PRSA, or held in a special savings incentive account, including a case where the units are transferred to another such fund or account, I/we will, by written notice, bring this fact to the attention of the investment undertaking accordingly.
Additional requirements where the declaration is completed by an Intermediary
I/we* declare that I am/we are* applying for shares/units on behalf of persons who: ➢ to the best of my/our* knowledge and belief, have beneficial entitlement to each of the units in respect of which this declaration is made; and, ➢ is a person referred to in Section 739D(6) TCA 1997. I/we* also declare that: ➢ unless I/we* specifically notify you to the contrary at the time of application, all applications for shares/units made by me/us* from the date of this application will be made on behalf of persons referred to in section 739D(6) TCA 1997; and, ➢ I/we* will inform you in writing if I/we* become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997. **Delete as appropriate*

Name of applicant	______________________________
Irish tax reference number of applicant	______________________________
Authorised signatory	______________________________(declarant)
Title (Mr / Ms / etc.)	______________________________
Capacity in which declaration is made	______________________________
Date	______________________________

IMPORTANT NOTES

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
2. Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In case of a qualifying fund manager / qualifying savings manager, quote the tax reference number of the beneficial owner of the units.
3. In the case of (i) an exempt pension scheme, the administrator must sign the declaration, (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration, (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. It must also be signed by a qualifying fund manager of an approved retirement fund/an approved minimum retirement fund, by a qualifying savings manager of a special savings incentive account or by a PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

AEOI FATCA and CRS Entity Self-Certification

Account holders that are Individuals or Controlling Persons should not complete this form and should complete the form entitled "Individual (including Controlling Persons) Self-Certification for FATCA and CRS".

Instructions for completion and Data Protection notice.

We are obliged under Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2018). Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.
For further information and guidance on FATCA or CRS please refer to the Irish Revenue or the OECD websites at:
https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx
http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/ in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

(Mandatory fields are marked with an *)

***Section 1. Entity Account holder Details**

***Account holder Name:** ______________________________ **(the "Entity")**

***Country of Incorporation or Organisation:** ______________________________

***Current (Resident or Registered) Address**:

Number: ______ Street: ______________________________
City, Town, State, Province or County: ______________________________
Postal/ZIP Code: ________ Country: ______________________________

Mailing address (if different from above):

Number: ______ Street: ______________________________
City, Town, State, Province or County: ______________________________
Postal/ZIP Code: ________ Country: ______________________________

***Section 2. FATCA Declaration**

Please tick <u>**either**</u> (I) **or** (II) **or** (III) below **and** provide U.S TIN or exemption code as appropriate, and complete the appropriate sections below based on your FATCA classification.

(I) Specified U.S Person -(also complete Sections 4 and 5 below) ☐

U.S Federal Taxpayer Identification Number (TIN) ______________________________

(II) U.S Person but not a Specified U.S Person –(also complete Sections 4 and 5 below) ☐

W9 Exemption Code: ______________________

(III) Not a Specified U.S Person-(also complete Sections 3, 4 and 5 below) ☐

***Section 3: Entity's FATCA Classification** (the information provided in this section is for FATCA, please note your FATCA classification may differ from your CRS classification in Section 5.

Complete either Section 3.1 and 3.2 OR Section 3.3 OR Section 3.4

3.1 Financial Institutions (FIs) under FATCA:

If the Entity is a Financial Institution, **tick one of the below categories** I, II, III and provide a GIIN at 3.2; if not, complete section 3.3 to explain type of Entity you are and why a GIIN cannot be provided.

I.	*Irish Financial Institution (FI)* **or** *other Partner Jurisdiction Financial Institution (FI)*	☐
II.	*Registered Deemed Compliant Foreign Financial Institution*	☐
III.	*Participating Foreign Financial Institution*	☐

3.2 Please provide the Entity's FATCA *Global Intermediary Identification number (GIIN)*

☐☐☐☐☐☐.☐☐☐☐☐.☐☐.☐☐☐

3.3 If the Entity is a *Financial Institution* <u>but unable to provide a *GIIN*</u>, please explain by choosing one of the below reasons

I.	The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN. Provide the sponsor's name and sponsor's GIIN: **Sponsor's Name:** ______________________ **Sponsor's GIIN**: ☐☐☐☐☐☐.☐☐☐☐☐.☐☐.☐☐☐ **Note:** *This option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have U.S reportable accounts are not required to register and obtain a GIIN with the IRS unless and until reportable accounts are identified*			☐
II.	***The Entity is an Exempt Beneficial Owner*** ***Confirm the type of Exempt Beneficial Owner below;***			☐
	Government Entity	☐	*International Organisation*	☐
	Foreign Central Bank	☐	*Exempt Retirement Fund*	☐
	Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners ☐			
III.	***The Entity is a Certified Deemed Compliant Foreign Financial Institution*** *(including a deemed compliant Financial Institution under Annex II of the IGA agreement* ***Indicate exemption:*** ______________________			☐

IV.	***The Entity is a Non-Participating Foreign Financial Institution (NPFFI)***	☐
V.	***The Entity is an Excepted Foreign Financial Institution*** ***Indicate exemption:*** ______________________________	☐
VI.	***The Entity is a Trustee Documented Trust*** ***Please also provide your Trustee's name and GIIN below*** ***Trustee Name:*** ______________________________	☐
	Trustee GIIN ☐☐☐☐☐☐.☐☐☐☐☐.☐☐.☐☐☐	

3.4. Non-Financial Foreign Entity ("NFFE") under FATCA:

If the Entity is **not a Financial Institution** but a **Non-Financial Foreign Entity (NFFE) ,** please confirm the Entity's FATCA classification by ticking **one** of the below categories;

I.	***Active (NFFE)***	☐
II.	***Passive (NFFE)*** *Confirm Type of Passive NFFE that applies (a) or (b) below* ***a. Passive (NFFE) with no Controlling Persons that are specified U.S Persons.*** ***OR***	☐
	b. Passive (NFFE) with Controlling Persons that are specified U.S Persons *(If this box is ticked, also **complete section 6 below** and provide separate individual self-certification forms from each of the Controlling Persons)*	☐
III.	***Excepted (NFFE)***	☐
IV.	***Direct Reporting (NFFE) (Please provide your GIIN)*** ☐☐☐☐☐☐.☐☐☐☐☐.☐☐.☐☐☐	☐

***Section 4: Common Reporting Standard ("CRS") Declaration of Tax Residency**

(Note that Entities may have more than one country of Tax Residence)

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail **all** countries of tax residence and **all** associated tax identification numbers ("TIN")).

Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence and TIN's.
http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

a) You are tax resident in a Jurisdiction that does not issue a TIN
Or
b) You are tax resident only in a non-reportable Jurisdiction for CRS (i.e. Ireland or the USA)

Please list ALL Tax ID Numbers below

Country of Tax Residency	Tax ID Number (or TIN equivalent)	If TIN unavailable Select (A, B or C) and check box below

If a TIN is unavailable, please confirm the reason why below by ticking A, B, or C below.

Reason A	☐	The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents.
Reason B	☐	The Account Holder is otherwise unable to obtain a TIN *(Please explain why you are unable to obtain a TIN)* ______________________
Reason C	☐	No TIN is required. (Note: This should only be selected if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Please tick this box to confirm you have specified all jurisdictions in which the Entity is resident for tax purposes.	☐

*Section 5: Entity's CRS Classification

The information provided in this section is for CRS. (Please note an Entity's CRS classification may differ from its FATCA classification in Section 3 above). In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information on CRS to assist with classification, please see the OECD CRS Standard and associated commentary.

http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/

5.1 Financial Institutions (FI's) under CRS:

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

Note: *Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland.*

https://www.revenue.ie/en/companies-and-charities/documents/aeoi/participating-jurisdictions.pdf

CRS Financial Institution Type;

I.	**A reporting Financial Institution resident in a participating CRS Jurisdiction** *(including an Investment Entity, Depository FI, Custodial Institution, Specified Insurance company)*	
II.	**A Financial Institution resident in a Non-Participating CRS Jurisdiction** **Please also tick the type of FI that applies**	
	FI- Investment Entity resident in a Non-Participating Jurisdiction and is managed by another Financial Institution *(If this box is ticked, also **complete section 6 below** and provide separate individual self-certification forms from each of the Controlling Persons)*	☐

	FI- Investment Entity resident in a Non-Participating Jurisdiction but is not managed by another Financial Institution/ Other Financial Institution *(including a Depository Financial Institution, Custodial Institution or Specified Insurance Company resident in a non-participating jurisdiction).*	☐
III	**Non-Reporting Financial Institution under CRS. (Specify the type of non-reporting FI below);**	

5.2

Government Entity	☐	International Organisation	☐
Central Bank	☐	Broad Participating Retirement Fund	☐
Narrow Participation Retirement Fund	☐	Pension Fund of a Government Entity/ International Organisation or Central Bank	☐
Exempt Collective Investment Vehicle	☐	Trust *(Who's trustee reports all required information with respect to all CRS reportable accounts)*	☐
Qualified Credit Card Issuer	☐	Qualified Non-Profit Entity	☐
Other Entity *(Defined under the domestic law as low risk of being used to evade tax).* Specify the type provided in domestic law: ____________________			☐

Non-Financial Entity ("NFE") under CRS:
If the Entity is a *not defined as a Financial Institution under CRS then* please tick one of the below categories confirming if you are an Active NFE **or** Passive NFE.

Active Non-Financial Entity (NFE)		**(Choose the box that applies)**
I.	**Active (NFE)-a corporation the stock of which is regularly traded on an established securities market** **Please provide details of the established securities market on which the corporation is regularly traded** ____________________	☐
II.	**Active (NFE) -if you are a Related Entity of a regularly traded corporation.** **Please provide the name of the regularly traded corporation of which the Entity is a Related Entity** ____________________ **And provide details of the securities market on which the corporation is regularly traded:** ____________________	☐
III.	**Active NFE-a Government Entity or Central Bank**	☐
IV.	**Active NFE-an International Organisation**	☐
V.	**Active NFE-Other than those listed in I,II,III, or IV above** ***For example a start-up or certain types of non-profit NFE's.***	☐

Or

Passive Non-Financial Entity (NFE)

VI.	***Passive (NFE)*** If this box is ticked please also complete **Section 6.1** for each of the Controlling Person(s) of the Entity and provide separate AEOI *"Individual (including Controlling Persons) Self-Certification for CRS and FATCA form"* as indicated in section 6.2 for each Controlling Person(s).	☐

Section 6: Controlling Persons

NB: Please note that each Controlling Person must complete a separate *"Individual (including*

***Controlling Persons) FATCA and CRS Self-Certification"* form.**

If there are no natural person(s) who exercise control of the Entity, then the Controlling Person for CRS purposes will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard. http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/

6.1 Controlling Person(s) of the Account Holder:

If you have ticked a Passive NFE with Controlling Persons in **either the FATCA or CRS Classification sections above or** An *Investment Entity* resident in a *Non-Participating Jurisdiction* and managed by another *Financial Institution* in the CRS section**,** then you must also complete this section for each of the Controlling Person(s) of the account holder and provide a separate *"Individual (including Controlling Persons) FATCA and CRS Self-Certification"* form for each Controlling person as per 6.2 below:

Indicate the name of all Controlling Person(s) of the Account Holder below:

I.	
II.	
III.	
IV.	

Note: In case of a trust,

Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust.

With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person for CRS purposes will be the natural person who holds the position of senior managing official of the Entity.

6.2 Arrange for each of the Controlling Persons listed in Section 6.1 to complete a separate "*Individual (including Controlling Persons) Self-Certification for FATCA and CRS*" form for each Controlling Person listed in Section 6.1.

Section 7: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We confirm (where applicable) that an ***"Individual (including Controlling Persons) Self-Certification for FATCA and CRS"*** form has been completed, signed and provided for **each Controlling person**, as defined under the CRS and FATCA regulations.

I/We acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance (for guidance refer to Irish Revenue or OECD website) occurs which causes any of the information contained in this form to be incorrect.

***Authorised Signature(s):**

______________________________ ______________________________

____

***Print Name(s):**

____

***Capacity in which declaration is made**:

____ ____

***Date of signature: (dd/mm/yyyy):**

____

AEOI FATCA and CRS Individual (including Controlling Persons) Self-Certification

Instructions for completion and Data Protection Notice

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (asamended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2018. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.
For further information and guidance on FATCA or CRS please refer to the Irish Revenue or OECD website at:
https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx
http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/ in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.
***Please note that where there are joint account holders each account holder is required to complete a separate Self-Certification form**.*

***Section 1, 2, 3 and 5** must be completed by all Account holders or Controlling Persons.*
***Section 4** should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.*

(Mandatory fields are marked with an *)

***Section 1. Account holder /Controlling Person Identification**

*Account Holder / Controlling Person/ Name:______________________________

***Current Residential Address**:

Number:__________________ Street:____

City, Town, State, Province or County:____

Postal/ZIP Code:______________________________ Country:______________________________

Mailing address (if different from above):

Number:__________________ Street:____

City, Town, State, Province or County:____

Postal/ZIP Code:______________________________ Country: ______________________________

*Place and Date Of Birth

*Town or City of Birth:________________________________ *Country of Birth: ______________________________

*Date of Birth: ________________________

*Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:

Please tick either (a) **or** (b) and complete as appropriate.

(a) ☐ I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

____

(b) ☐ I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

- **Section 3: Common Reporting Standard (CRS) Declaration of Tax Residency/Residencies including Citizenship and Residency by Investment disclosure.**

Please indicate your country of tax residence (if resident in more than one country please detail **All** countries of tax residence **and All** associated tax identification numbers ("TINs")).

For further guidance on Tax Residence and TINs, please refer to the OECD CRS Information Portal *http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759*

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

a) You are tax resident in a Jurisdiction that does not issue a TIN, **Or,**

b) You are tax resident **only** in a non-reportable Jurisdiction for CRS (i.e. Ireland or the USA)

Please list ALL Tax ID Numbers below

Country of Tax Residency	Tax ID Number (or TIN equivalent)	If TIN unavailable Select (A, B or C) and check box below

If a TIN is unavailable, please confirm the reason why below by ticking A, B, or C below.

Reason A	☐	The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents.
Reason B	☐	The Account Holder is otherwise unable to obtain a TIN *(Please explain why you are unable to obtain a TIN)* ____
Reason C	☐	No TIN is required. (Note: This should only be selected if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Please tick this box to confirm you have specified all jurisdictions in which you are resident for tax purposes.	☐

3.1 Citizenship/Residency by Investment (CBI/RBI)

Citizenship by Investment (CBI) and Residency by Investment (RBI) schemes are offered by a number of jurisdictions and allow foreign individuals to obtain citizenship or temporary/permanent residency rights based on local investments or against a flat fee. In this regard, the OECD have identified specific jurisdictions that operate CBI/RBI schemes which could potentially pose a high-risk to the integrity of CRS.

If, in this Section 3, you have confirmed that you are resident **only** in one or more of these specific jurisdictions (and not in any other jurisdiction), we are required to determine whether your citizenship/residency rights were obtained through a CBI/RBI scheme. If so, we must collect additional information.

For further details, including the list of jurisdictions and relevant CBI/RBI schemes, please refer to the OECD website: https://www.oecd.org/en/topics/sub-issues/international-standards-on-tax-transparency/residence-citizenship-by-investment.html

Please select one of the following options:

Option 1:

☐ I confirm that I am either **not resident** or **not solely resident** in one or more of the jurisdictions designated by the OECD as operating a CBI/RBI scheme that may pose a high risk to CRS integrity.
(If you select this box, no further information is required in this section – please proceed to Section 4, if applicable.)

Option 2:
☐ I confirm that I am **solely resident** in one or more of the jurisdictions designated by the OECD as operating a CBI/RBI scheme that may pose a high risk to CRS integrity but **I did not** receive my residence rights under a CBI/RBI scheme.***(If you select this box, no further information is required in this section – please proceed to Section 4, if applicable.)***

Option 3:
☐ I confirm that I am **solely resident** in one or more of the jurisdictions designated by the OECD as operating a CBI/RBI scheme that may pose a high risk to CRS integrity **and I solely obtained my residence rights** in these jurisdictions under one or more CBI/RBI schemes.

(If you select this box, please complete Section 3.2)

3.2 CBI/RBI additional queries where an Account holder or Controlling person has obtained citizenship or residency in an OECD CBI/RBI high risk jurisdiction.
(Additional questions to be completed ONLY where option 3 above has been ticked).

Do you hold residence rights in any other jurisdiction(s)?
No ☐

Yes ☐ *(If yes, list the jurisdiction(s))* ______________________________

Have you spent more than 90 days in any other jurisdiction(s) during the previous year?

No ☐

Yes ☐ *(If yes, list the jurisdiction(s))* ______________________________

In which jurisdiction(s) have you filed your personal income tax returns during the previous year?
(list the jurisdiction(s) below)

____

Section 4 – Type of Controlling Person**

**(ONLY to be completed by an individual who is a Controlling Person of an entity which is a Passive NFE or an Investment Entity located in a Non-

Participating Jurisdiction and managed by another Financial Institution)

For Joint or multiple Controlling Person(s) please complete a separate *"Individual (Including ControllingPersons) Self-Certification for FATCA and CRS* form for **each** Controlling Person.

Controlling Person of a Legal Person	**Please Tick all that apply**	**Entity Name**
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		

Controlling Person of a Trust (Please select all that apply)	**Please Tick all that apply**	**Entity Name**
Controlling Person of a trust – settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		

Controlling Person of a Legal Arrangement (Non-Trust) Please select all that apply	**Please Tick all that apply**	**Entity Name**
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

*Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding theAccount Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Data Protection - Customer Information Notice :

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchangeof Financial Account Information, is an information standard for the automatic exchange of information(AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain informationfrom account holders(and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevantControlling Persons of such account holders) is to be reported to the relevant tax authority where theaccount is held, which, if a different country to that in which the account holder resides, will be sharedwith the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance,any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: *http://oecd.org/tax/automatic-exchange/*
And on the Irish Revenue website -*https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx*

***Authorised Signature:** ______________________________

***Print Name:** ______________________________

***Date of signature: (dd/mm/yyyy):_______**

***Capacity (if Controlling Person):** ______________________________

*Section 7: Declarations and Undertakings		

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information and hereby consent to such maintenance and / or exchange.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

*Authorised Signature(s):		______________________________
*Print Name(s):		______________________________
*Capacity in which declaration is made:		______________________________
*Date: (dd/mm/yyyy):		______________________________

Declarations and Signatures

1. I/We, having received and considered the Prospectus, the relevant KIID, and (where applicable) the most recent annual report or semi-annual report and accounts of the Company hereby confirm that this application is based solely on those documents and that I/we have not relied upon any representations or statements made or information provided by or on behalf of the Company other than in those documents.

2. I/We hereby acknowledge that I/we have been offered the Articles, the Prospectus, the relevant KIID, and (where applicable) the most recent annual report or half-yearly report of the Company. Furthermore I/we hereby acknowledge that this application is made on the terms thereof and subject to the provision of the Articles and the Prospectus and I/we am/are bound by the terms of the Articles and the Prospectus and apply for the shares issued in relation to this application to be entered in the register of shareholders in my/our name(s) (or in the name of a nominee or agent).

3. I/We hereby represent and declare that I/we am/are fully informed as to: (i) the legal requirements within my/our country for the purchase of shares and are permitted to purchase the shares under the laws and regulations of my/our home country in the manner in which the shares have been offered and sold to me/us; (ii) any foreign exchange restrictions applicable to me/us; and (iii) any relevant tax considerations relating to me/us arising out of my/our purchase and ownership of shares.

4. I/We have such knowledge and experience in business and financial matters that I/we am/are capable of evaluating the merits and risks of an investment by me/us in the shares and have considered the risk factors as set out in the Prospectus.

5. I/We have made arrangements for payment to be made to the relevant bank account(s) specified above for subscriptions.

6. I/We acknowledge that the Company reserves the right to reject any application in whole or part without assigning any reason therefore.

7. I/We acknowledge that, owing to AML requirements operating within their respective jurisdictions, the Company, its Directors, the Administrator, the Investment Manager and any distributor which may be appointed (as the case may be) will require certain identification of the applicant(s) before the application can be processed and the Company, its Directors, the Administrator, the Investment Manager and any distributor which may be appointed (the **Relevant Parties**) shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has not been provided by me/us.

8. I/We hereby authorise the Company and the Administrator to accept and execute any instructions, (including but not limited to any instructions regarding subscriptions, switches, transfers or redemptions of shares or any payment in relation to same or otherwise) in respect of shares to which this application relates, given by me/us in written form, by email, or by electronic means. I/We hereby agree to indemnify each of the Administrator and the Company and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of either of them acting upon instructions given in written form by me/us, i.e. email instructions confirmed by me/us in writing. The Administrator and the Company may rely conclusively upon, and shall incur no liability in

respect of, any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons. The Company and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed by properly authorised persons. I/we acknowledge that redemption proceeds will not be paid out until all documentation required by the Company and the Administrator, including all documentation required for AML purposes has been received by the administrator.

9. I/We acknowledge that measures aimed at the prevention of money laundering and terrorist financing will require verification of my/our identity, address and source of funds and where applicable other persons including but not limited to any beneficial owner on a risk sensitive basis and the ongoing monitoring of my/our business relationship with the Company. I/we also acknowledge that politically exposed persons (**PEPs**), and immediate family members, and close associates of such persons (as such terms are defined below), must also be identified. I/We further acknowledge that the Company or Administrator reserves the right not to issue shares until such time as the Company or Administrator has received and is satisfied with all the information and documentation requested to verify my/our identity, address and source of funds and where applicable other persons including but not limited to any beneficial owner. I/We acknowledge that the Relevant Parties shall be held harmless against any loss arising as a result of a failure to process my/our application for shares if such information and documentation as has been requested by the Company or Administrator has not been provided by me/us.

10. I/We understand and agree that the Company prohibits the investment of funds by any persons or entities that are acting, directly or indirectly, (i) in contravention of any applicable laws and regulations, including AML regulations or conventions, (ii) on behalf of terrorists or terrorist organisations, including those persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Treasury Department's Office of Foreign Assets Control (**OFAC**), as such list may be amended from time to time, (iii) for a politically exposed persons, any member of a politically exposed persons immediate family or any close associate of a politically exposed persons, unless the Company, after being specifically notified by me/us in writing that I/we am/are such a person, conducts further due diligence, and determines that such investment shall be permitted, or (iv) for a shell bank, or (v) for US Person (as defined in the Prospectus) (such persons or entities in (i) - (v) are collectively referred to as **Prohibited Persons**).

11. I/We represent, warrant and covenant that: (i) I/we am/are not, nor is any person or entity controlling, controlled by or under common control with me/us, a Prohibited Person, and (ii) to the extent I/we have any beneficial owners, (a) I/we have carried out thorough due diligence to establish the identities of such beneficial owners, (b) based on such due diligence, I/we reasonably believe that no such beneficial owners are Prohibited Persons, (c) I/we hold the evidence of such identities and status and will maintain all such evidence for at least five years from the date of my/our complete redemption from the Company, and (d) I/we will make available such information and any additional information that the Company and / or Administrator may require upon request.

12. If any of the foregoing representations, warranties or covenants ceases to be true or if the Company and / or Administrator no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Company and / or Administrator may be obligated to freeze my/our investment, either by prohibiting additional investments, declining or suspending any redemption requests and/or segregating

the assets constituting the investment in accordance with applicable regulations, or my/our investment may immediately be redeemed by the Company, and the Relevant Parties may also be required to report such action and to disclose my/our identity to OFAC or other authority. In the event that any of the Relevant Parties is required to take any of the foregoing actions, I/we understand and agree that I/we shall have no claim against the Relevant Parties, and their respective affiliates, directors, members, partners, shareholders, officers, employees and agents for any form of damages as a result of any of the aforementioned actions.

13. I/We acknowledge that the Relevant Parties also reserve the right to refuse to make any redemption payment or distribution to a shareholder if any of the Relevant Parties suspects or is advised that the payment of any redemption or distribution moneys to such shareholder might result in a breach or violation of any applicable AML or other laws or regulations by any person in any relevant jurisdiction, or such refusal is considered necessary or appropriate to ensure the compliance by the Company, its Directors or the Administrator with any such laws or regulations in any relevant jurisdiction. I/We hereby hold the Relevant Parties harmless and indemnify them against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by me/us.

14. I/We consent to any notice or other document to be sent by the Company, the Investment Manager or the Administrator to me/us as a shareholder, by electronic means including but not limited to e-mail, swift or posting such notice or other document on a website notified to me/us by post or by e-mail.

15. I/We confirm that I/we have the capacity and am/are duly authorised to complete this form and to make the representations and give the indemnities referred to herein.

16. I/We agree that in order to allow any of the Funds comply with the rules of Sections 1471 through 1474 (inclusive) of the Internal Revenue Code of 1986 of the US, as amended, any current or future regulations or official interpretations thereof, any agreement entered into thereunder, or any fiscal or regulatory legislation, rules or practices adopted pursuant to any intergovernmental agreement entered into in connection with the implementation thereof (**FATCA**) or the OECD common reporting standard (**CRS**), I / we will:

    (a) provide such Fund (or any nominated service provider) with any information, declarations, certificates or documentation necessary for FATCA or CRS reporting; and

    (b) permit such Fund to:

        i. share such information with the US Internal Revenue Service, Irish Revenue or other relevant tax or other government authority as required for FATCA or CRS purposes;

        ii. compel or effect the sale of the Shares or repurchase and cancel the Shares if it fails to comply with the foregoing requirement; and

        iii. make any other amendments to any other documents entered into in connection with the subscription as may be necessary to enable such Fund to comply with FATCA or CRS.

17. I/We agree to provide these representations to the Company and its Directors and the Administrator at such times as either of them may request and to provide on request such

certifications, documents or other evidence as the Company and/or its Directors may reasonably require to substantiate such representations.

18. I/We declare that the KIID(s) has/have been provided to us in good time prior to making the application for shares and that I/we have read, reviewed and understood the nature and the risks of the investment product that is being offered to me/us.

19. We hereby acknowledge and agree that the updated KIID for each share class is available from the Investment Manager's website at www.epicip.com and that I/we will read and review the most up-to-date version of the relevant KIID(s) prior to making any subsequent application for shares.

20. I/We acknowledge that the Company operates a single, omnibus subscriptions/redemptions account in respect of each of the Funds, in accordance with the Central Bank's guidance relating to umbrella fund cash accounts, through which subscription monies and redemption proceeds and dividend income (if any) for each Fund are channeled. I/We further acknowledge that this subscriptions/redemptions account shall not have the protection of the Central Bank (Supervision and Enforcement) Act 2013 (Section 48(1)) Investor Money Regulations 2015 for Fund Service Providers, as may be amended from time to time (the **Investor Money Regulations**) and that a risk exists to the extent that monies are held by the Company in the subscriptions/redemptions account for the account of a relevant Fund at a point where such Fund becomes insolvent. Upon receipt into the subscriptions/redemptions account, subscription monies, redemption proceeds or dividend income will be the property of the relevant Fund and accordingly I/we will be treated as a general creditor of the Company during the period such monies are held in the subscriptions/redemptions account.

21. I/We are aware that copies of the Prospectus, latest annual and semi-annual reports, KIID(s) and other information may be obtained from the Administrator at EPIC Investment Partners (Ireland) Limited, 1H The Atrium, Blackpool Retail Park, Cork, T23 T2VY. I/We give full consent to receiving this information and the Prospectus, latest annual and semi-annual reports and KIID(s) by means of electronic communication.

22. Where I/we are investing as nominee, we shall provide our client(s) with the KIID issued in respect of the relevant Share class and we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. We shall maintain records of our provision of the KIID to our clients and shall furnish such records to the Company, or its delegates, upon request.

23. For as long as I/we hold shares in the Company, I/we agree to provide all necessary details, information, documents and co-operation requested by the Company or its duly authorised delegates in order to determine beneficial ownership as defined in the Beneficial Ownership Regulations.

24. If at any time during the period I/we hold any shares in the Company, I/we become aware that I/we am/are a "beneficial owner" of the Company (as that term is defined in the Beneficial Ownership Regulations), I/we acknowledge and agree to the following:

    (a) to provide such information as may be required by the Company;

    (b) if the Company or its duly appointed delegate has not contacted me/us within a month of my/our acquiring the status of a Beneficial Owner, provide the information required in Part 2: Beneficial Owners and the European Union (Anti-Money Laundering: Beneficial Ownership of Corporate Entities) Regulations 2016 of this form within the following month as well as (to the best of my/our knowledge) the date on which I/we became a Beneficial Owner (as required under Regulation 11 of the Beneficial Ownership Regulations);

(c) where I/we become aware that: (a) a Relevant Change (as defined in Part 2: Beneficial Owners and the European Union (Anti-Money Laundering: Beneficial Ownership of Corporate Entities) Regulations 2016 above) has occurred and (b) the Company or its duly appointed delegate has not contacted me/us for information on the Relevant Change within a month of its occurrence, I/we agree to notify the Company or its duly appointed delegate of the Relevant Change, the date of its occurrence and any other necessary information within either: (i) two months of the Relevant Change; or, (ii) one month of becoming aware of facts from which I/we could reasonably conclude that a Relevant Change has occurred (whichever is later)(as required under Regulation 12 of eth Beneficial Ownership Regulations).

25. I/we acknowledge that under Regulations 11 and 12 of the Beneficial Ownership Regulations, it is a criminal offence under Irish law (punishable by a fine of up to €5,000) if, being aware of my/our status as a Beneficial Owner, I/we: (i) fail to take the steps above where the Company has not contacted me/us for information within the relevant timeframes; or, (ii) in purporting to do so, make a statement that is false in a material particular, knowing it to be false or being reckless as to whether it is so false.

26. I/We understand that the representations and warranties made herein are continuous and all subsequent subscriptions of shares in the relevant Fund by me/us shall be governed by them, and I/we agree to notify the Company or the Administrator immediately, if any representation or warranty are no longer accurate and to abide by any directions from the Company or the Administrator arising as a result.

27. I/We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases of shares by me/us in the Company.

28. If a natural person, I/we confirm that I am/we are over 18 years of age.

29. I/We confirm that I am/we are not a US Person (as defined in the Prospectus) and am/are not acquiring shares in the relevant Fund on behalf of, or for the benefit of, a US Person, nor do I/we intend to transfer any shares which I/we may purchase to any US Person.

30. I/We hereby certify that I am/we are aware of the risks involved in the proposed investment as set out in the Prospectus.

31. I/We confirm that I am/we are in agreement with the distribution policy and all other policies as outlined in the Prospectus.

32. I/We hereby acknowledge that any notice or document may be served by the Company on me/us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if I have provided an e-mail address to the Company or its delegate, consent to any such notice or document being sent to me/us by email to the e-mail address previously identified to the Company or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/we acknowledge

that I/we are not obliged to accept electronic communication and may at any time choose to revoke my/our agreement to receive communications by eemail notifying the Company in writing at the above address provided that my/our agreement to receive communications by email shall remain in full force and effect pending receipt by the Company of written notice of such revocation.

33. In respect of corporate entities, I/we confirm that the persons listed on the attached authorised signatories list and whose specimen signatures appear on that list are duly authorised to give Instructions with respect to shares held by us in the Company.

34. In the event that I/we decide to send this Application Form, any subsequent Subscription Instruction(s), any changes to original account details, and/or any other instruction(s) by email:

    I/We acknowledge that electronic communications whether by email, swift or otherwise are an unsafe method of communication and emails and swift messages may be lost, subject to delays, interference by third parties, viruses and their confidentiality, security and integrity cannot be guaranteed.

    (a) I/we acknowledge that electronic communications cannot be guaranteed to be error-free.

    (b) I/We hereby confirm that I/we will not hold the Relevant Parties, the Depositary or any of their directors, officers, employees or agents (the **Indemnified Parties**) liable now or at any time for any loss, damage, financial or otherwise which I/we may suffer as a result of any interception or breach of confidentiality or integrity or as a result of any delays, inaccuracy, imperfection, lack of quality, ineffective transmission, viruses, alteration or distortion howsoever arising affecting such electronic communication.

    (c) I/We undertake to keep each of the Indemnified Parties indemnified at all times against, and to save each of the Indemnified Parties harmless from all actions, proceedings, claims, losses, damages, costs and expenses which may be brought against any of the Indemnified Parties or suffered or incurred by any of the Indemnified Parties and which shall have arisen either directly or indirectly out of or in connection with me /us sending electronic communications.

    (d) I/We confirm that I/we, shall not send or transmit or arrange for any sending or transmitting on my/our behalf, any electronic communication which contains a virus or other media damaging to your property or computer systems or which may be defamatory, libellous, slanderous, obscene, abusive, offensive, menacing or immoral and will abide with all relevant laws and regulations and international conventions or treaties governing the content of and the transmission of such electronic communications.

    (e) In the event that I/we are unable to send you instructions by electronic transmission due to either a failure in or shut-down of my/our or the Administrators' or other relevant party's internet system whether temporary or otherwise, I/we will send you instructions by email

    (f) The Indemnified Parties may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction, electronic instructions, electronic subscriptions and redemptions or other instrument believed, in good faith, to be genuine.

35. In the case of Applicants applying for shares on the Applicant's own behalf only, I/we acknowledge that I am/we are hereby obliged to notify the Company or an agent of the Company appointed for this purpose, as the case may be, in writing if I am/we are or I/we become resident or ordinarily resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.

36. In the case of Applicants applying for shares on behalf of another person, I/we acknowledge that I am/we are hereby obliged to notify in writing the Company or an agent of the Company appointed for this purpose, as the case may be, if I am/we are, or I/we become, aware that any person who is beneficially entitled to any of those shares may be resident or ordinarily resident in Ireland or may have become resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.

37. I/We declare that the information contained in the declarations completed above is true and correct.

38. I/We agree to notify you immediately of any changes in the information provided in this application including all attachments.

39. I/We understand that through my/our interactions with the Company, personal information is obtained, stored and handled by the Administrator, the Company, the Depositary, the Investment Manager and their delegates, agents or affiliates in accordance with the General Data Protection Regulation (Regulation 2016/679). I/We understand that I/we are advised to read in detail the Privacy Notice attached to this Application Form (see Appendix 1). This document provides an outline of my/our data protection rights and the Company's data protection obligations as they relate to my/our investment in the Company.

In respect of joint shareholdings only:

40. I/We direct that on the death of one of us, the shares for which we hereby apply be held in the name of and to the order of the survivor (s) of us or the executor or administrator of such survivor(s). I/We acknowledge and agree that in the event of the death of one or more of us the Administrator will require further documentation in relation to any proposed change of name or authority to act in respect of the shares for which we hereby apply including without limitation a copy of the relevant Grant of Probate or copy of a will.

Authorisation	
I/We agree to be bound by the Declarations, Representations, Consents and Indemnities set out in this Application Form. *In the case of joint holders, ALL holders must complete this declaration.*	
Signature (1)	______________________________
Capacity of Authorised Signatory	______________________________
Name Authorised Signatory (1)	______________________________
Signature (2)	______________________________
Capacity of Authorised Signatory	______________________________
Name Authorised Signatory (2)	______________________________
Signature (3)	______________________________
Capacity of Authorised Signatory	______________________________
Name Authorised Signatory (3)	______________________________
Signature (4)	______________________________
Capacity of Authorised Signatory	______________________________
Name Authorised Signatory (4)	______________________________

Appendix 1 - Privacy Notice

Introduction

The purpose of this document is to provide you with information on our use of your personal data in accordance with the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679) (the **GDPR**).

In this document, "we", "us" and "our" refer to EPIC Funds plc (the **Company**) and its affiliates and delegates.

Who this affects

If you are an individual investor, this will affect you directly. If you are an institutional investor that provides us with personal data on individuals connected to you for any reason in relation to your investment with us, this will be relevant for those individuals and you should transmit this document to such individuals or otherwise advise them of its content.

Your personal data

By virtue of making an investment in the Company and your associated interactions with us (including this application, and including the recording of electronic communications or phone calls where applicable) or by virtue of you otherwise providing us with personal information on individuals connected with you as an investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), you will provide us with certain personal information which constitutes personal data within the meaning of the GDPR. We may also obtain personal data on you from other public sources.

This includes the following information relating to you and/or any individuals connected with you as an investor: name, residential address, email address, contact details, corporate contact information, signature, nationality, place of birth, date of birth, tax identification, credit history, correspondence records, passport number, bank account details, source of funds details and details relating to your investment activity.

How we may use your personal data

The Company, as the data controller, may collect, store and use your personal data for lawful purposes disclosed below:

(i) to reflect your ownership of shares in the Company (i.e. where this is necessary for the performance of the contract to purchase shares in the Fund or to process redemption, conversion, transfer and additional subscription requests or the payment of distributions);

(ii) to discharge our anti-money laundering obligation to verify the identity of our investors and potential investors (and, if applicable their beneficial owners) or for prevention of fraud or for regulatory or tax reporting purposes or in response to legal requests or requests from regulatory authorities (i.e. where this is necessary for compliance with a legal obligation to which we are subject); and/or

(iii) for direct marketing purposes (that is, us providing you with information on products and services) or for quality control, business and statistical analysis or for tracking fees and costs or for investor service, training and related purposes (i.e. where this is necessary for the purposes of the legitimate interests of us or a third party and such legitimate interests are not overridden by your interests, fundamental rights or freedoms and provided that we are acting in a fair, transparent and accountable manner and have taken appropriate steps to prevent such activity having any unwarranted impact on you and also noting your right to object to such uses, as discussed below).

EPIC Markets (UK) LLP trading as EPIC Investment Partners (the **Investment Manager**) may also, as a separate data controller, use your personal data to provide its services to us, for business development analysis, where it is necessary for it to comply with a legal or regulatory obligation or otherwise where it has a legitimate interest in doing so. Further explanation regarding how the Investment Manager may handle your personal data is set out in its privacy notice, which is available at https://www.epicip.com/policies/privacy-policy

Additionally, as data controller, EPIC Investment Partners (Ireland) Limited (the **Administrator**) may use personal information contained in the completed form and accompanying documents submitted to conduct due diligence for, and enable the Administrator to provide fund administration and related services to, the relevant investment funds that have engaged the Administrator. All personal information will be handled in accordance with the applicable data protection laws, including the **GDPR** and the Data Protection Act 2018. Further explanation regarding why and how the Administrator handles personal information is set out in the Administrator's privacy notice which can be found online at: https://www.epicip.com/policies/data-privacy-statement

Should we wish to use your personal data for other specific purposes (including, if applicable, any purpose that requires your consent), we will contact you.

How we share your personal data

We share your personal data with our affiliates and delegates who support the Company's business operation. These include the Investment Manager and the Administrator (and any authorised delegates they are permitted to appoint).

We also share your personal data with exchanges, registers of shares, platform operators, depositaries, and other such third parties with whom the Company interact by necessity in order to carry out its business.

In certain circumstances we and/or our authorised delegates may be legally obliged to share your personal data and other financial information with respect to your interest in the Company with the Irish Revenue Commissioners and they, in turn, may exchange this information with foreign tax authorities including tax authorities located outside the EEA.

The data protection measures we take

Those with whom we share your personal data can potentially be located outside the EEA, but any transfer of personal data by us or our duly authorised delegates outside the EEA shall be in accordance with the conditions in the GDPR.

We and our duly authorised delegates shall apply appropriate information security measures designed to protect data in our/our delegates' possession from unauthorised access by third parties or any form of computer corruption.

We shall notify you of any personal data breach affecting you that is likely to result in a high risk to your rights and freedoms.

We anticipate that the following affiliates and delegates will process your personal data on our behalf and this may include certain entities located outside the EEA:

- the Administrator.

Your data protection rights

You have certain rights regarding our use of your personal data summarised as follows:

- the right to access your data (in an easily readable form);
- the right to examine and correct your data;
- the right to data portability;
- the right to restrict the use of your data;
- the right to withdraw any consent given to the processing of your data (where applicable);
- the right to receive information regarding any entities we disclose your data to;
- the right to lodge a complaint with the Office of the Data Protection Commissioner (our lead supervisory authority).

You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

Please note that the rights you have in respect of your personal data are not absolute and are subject to a range of legal conditions and exemptions. If and to the extent a relevant legal condition or exemption applies, we and our delegates reserve the right not to comply with your request.

Our retention of your personal data

We or our duly authorised delegates may retain your personal data for a period of up to seven years following your disinvestment from the Company or the point where your business relationship with us has ceased. Thereafter, we and our duly authorised affiliates and delegates will refrain from collecting any further personal data on you and shall take appropriate steps to dispose of any records containing your personal data; to the extent this is operationally feasible and proportionate.

Getting in touch

The Company is not required to designate a data protection officer. However, should you have any queries or wish to discuss your data protection rights with us, please contact EPICIREqueries@epicip.com

Appendix 2 - Subscription Instruction

Please ensure that your bank quotes the details below in the electronic funds transfer to the appropriate bank:

EPIC Financial Trends

EPIC Financial Trends A/C – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC FINANCIAL TRENDS SUB/RED AC

USD IBAN: FR7630003056050300112809891

EPIC Financial Trends A/C – EUR A/C

Intermediary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPP

For further credit

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC FINANCIAL TRENDS SUB/RED AC

EUR IBAN: FR7630003056050000112809809

EPIC Financial Trends A/C – GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC FINANCIAL TRENDS SUB/RED AC

GBP IBAN: FR7630003056050380112809887

EPIC Financial Trends A/C – JPY A/C

Intermediary Bank: MIZUHO CORPORATE BK./TOKYO

Swift Code: MHCBJPJT

For further credit

Sort Code:

A/C: 047010

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC FINANCIAL TRENDS SUB/RED AC

JPY IBAN: FR7630003056050460112809883

EPIC Financial Trends A/C – CHF A/C

Intermediary Bank: UBS / ZURICH

Swift Code: UBSWCHZH80A

For further credit

Sort Code:

A/C: CH3204835093749053000

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC FINANCIAL TRENDS SUB/RED AC

CHF IBAN: FR7630003056050390112809838

EPIC Global Equity Fund

EPIC Global Equity Fund A/C – GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC GLO EQ FD SUBS/RED

GBP IBAN: FR7630003056050380113597818

EPIC Global Equity Fund A/C – EUR A/C

Intermediary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPP

For further credit

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC GLOBAL EQ FD SUB/RED

EUR IBAN: FR7630003056050000113597837

EPIC Global Equity Fund A/C – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC GLOBAL EQUITY FD SUB/RED

USD IBAN: FR7630003056050300113597822

EPIC Wealth Fund

EPIC Wealth Fund A/C – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC WEALTH FUND COL

USD IBAN: FR7630003056050300114449191

EPIC Wealth Fund A/C – EUR A/C

Intermediary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPP

For further credit

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC WEALTH FUND COL

EUR IBAN: FR7630003056050000114449109

EPIC Wealth Fund A/C – GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC WEALTH FUND COL

GBP IBAN: FR7630003056050380114449187

EPIC Wealth Fund A/C – CHF A/C

Intermediary Bank: UBS / ZURICH

Swift Code: **UBSWCHZH80A**

For further credit

A/C: CH3204835093749053000

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC WEALTH FUND COL

CHF IBAN: FR7630003056050390114449138

EPIC Oriental Focus Fund

EPIC Oriental Focus Fund A/C – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: EPIC ORIENT FOC FD COL

USD IBAN: FR7630003056050300114424456

EPIC Oriental Focus Fund A/C – EUR A/C

Intermediary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPP

For further credit

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: EPIC ORIENT FOC FD COL

EUR IBAN: FR7630003056050000114424471

EPIC Oriental Focus Fund A/C – GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: EPIC ORIENT FOC FD COL

GBP IBAN: FR7630003056050380114424452

Team Multi-Asset Balanced Fund

TEAM Multi–Asset Balanced Fund – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: TEAM MULTI-ASSET BAL FD COL

USD IBAN: FR7630003056050300115334413

TEAM Multi–Asset Balanced Fund – GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: TEAM MULTI-ASSET BAL FD COL

GBP IBAN: FR7630003056050380115334409

Team Multi-Asset Conservative Fund

TEAM Multi–Asset Conservative Fund – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: TEAM MULTI-ASSET CON FD COL

USD IBAN: FR7630003056050300115338584

TEAM Multi–Asset Conservative Fund – GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: TEAM MULTI-ASSET CON FD COL

GBP IBAN: FR7630003056050380115338580

Team Multi-Asset Growth Fund

TEAM Multi–Asset Growth Fund – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: TEAM MULTI-ASSET GRO FD COL

USD IBAN: FR7630003056050300115340136

TEAM Multi–Asset Growth Fund – GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPPAFI

Account Name: TEAM MULTI-ASSET GRO FD COL

GBP IBAN: FR7630003056050380115340132

EPIC NEXT GENERATION GLOBAL BOND FUND– GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: EPIC NXT GEN BOND FD COL

GBP IBAN: FR7630003056050380115461576

EPIC NEXT GENERATION GLOBAL BOND FUND – EUR A/C

Intermediary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPP

For further credit

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: EPIC NXT GEN BOND FD COL

EUR IBAN: FR7630003056050000115461595

EPIC NEXT GENERATION GLOBAL BOND FUND – USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: EPIC NXT GEN BOND FD COL

USD IBAN: FR7630003056050300115461580

ARTHA INDIA FUND

Artha India Fund– GBP A/C

Intermediary Bank: BARCLAYS BANK LONDON

Swift Code: BARCGB22

For further credit

Sort Code: 203253

A/C: 70327824

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: ARTHA INDIA FUND COL/GBP

GBP IBAN: FR7630003056050380115477193

Artha India Fund– EUR A/C

Intermediary Bank: SOCIETE GENERALE PARIS

Swift Code: SOGEFRPP

For further credit

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: ARTHA INDIA FUND COL/EUR

EUR IBAN: FR7630003056050000115477115

Artha India Fund– USD A/C

Intermediary Bank: SOCIETE GENERALE NEW YORK

Swift Code: SOGEUS33

For further credit

A/C: 150371

Beneficiary Bank: SGSS

Swift Code: SOGEFRPPAFI

Account Name: ARTHA INDIA FUND COL/USD

USD IBAN: FR7630003056050300115477197

Subscription monies should be received by wire transfer in cleared funds by the relevant settlement date as set out in the Prospectus and relevant Supplement in the denominated currency of the relevant share class.

Should you have any questions, please contact the Administrator of the Company:

EPIC Funds p.l.c.
c/o EPIC Investment Partners (Ireland) Limited
1H The Atrium
Blackpool Retail Park
Cork, T23 T2VYIreland
E-mail: EPICIREdealing@epicip.com
+353 (0) 21 2069213

Details of Investment

The Applicant, having received and read the Prospectus, relevant Supplement and relevant KIID, hereby applies to invest in the Company, as indicated in the table below:

Fund Name	Share Class	Currency	Value of Subscription
EPIC Financial Trends IE00B86V3N61	Class A – USD Shares	USD	
EPIC Financial Trends IE00B8NCXV05	Class A – GBP Shares	GBP*	
EPIC Financial Trends IE00B86JXG34	Class A – EUR Shares	EUR*	
EPIC Financial Trends IE00BYMT0K24	Class A – CHF Shares	CHF*	
EPIC Financial Trends IE00BYMT0L31	Class A – JPY Shares	JPY*	
EPIC Financial Trends IE00B8L77L59	Class B – USD Shares	USD	
EPIC Financial Trends IE00B86KNN34	Class B – GBP Shares	GBP*	
EPIC Financial Trends IE00B7S9LZ93	Class B – EUR Shares	EUR*	
EPIC Financial Trends IE00BDBB9N84	Class B – CHF Shares	CHF*	
EPIC Financial Trends IE00BDBB9P09	Class B – JPY Shares	JPY*	
EPIC Financial Trends IE00BDBB9Q16	Class X – USD Shares	USD	

EPIC Global Equity Fund IE00BYQG4302	Class A GBP Shares	GBP	
EPIC Global Equity Fund IE00BFNBK716	Class B GBP Shares	GBP	
EPIC Global Equity Fund IE00BGRX5W07	Class B EUR Unhedged Shares	EUR	
EPIC Global Equity Fund IE000GZ10OC0	Class B USD Unhedged Shares	USD	
EPIC Global Equity Fund IE00BGRX5V99	Class C GBP Shares	GBP	
EPIC Global Equity Fund IE0004MHLRC7	Class D EUR Unhedged Shares	EUR	

EPIC Wealth Fund IE00BMHMYH76	Class Y – GBP Shares (Acc)	GBP	
EPIC Wealth Fund IE00BMHMYJ90	Class Y – GBP Shares (Inc)	GBP	
EPIC Wealth Fund IE00BMHMYK06	Class Y – EUR Shares (Acc)	EUR	
EPIC Wealth Fund IE00BMHMYL13	Class Y – EUR Shares (Inc)	EUR	
EPIC Wealth Fund IE00BMHMYM20	Class Y – USD Shares (Acc)	USD	
EPIC Wealth Fund IE00BMHMYN37	Class Y – USD Shares (Inc)	USD	
EPIC Wealth Fund IE00BMHMYP50	Class X – GBP Shares (Acc)	GBP	
EPIC Wealth Fund IE00BMHMYQ67	Class X – GBP Shares (Inc)	GBP	
EPIC Wealth Fund	GBP Shares (Acc)	GBP	

IE00BMHMYR74			
EPIC Wealth Fund IE00BMHMYS81	GBP Shares (Inc)	GBP	
EPIC Wealth Fund IE00BMHMYT98	Class R – GBP Shares (Acc)	GBP	
EPIC Wealth Fund IE00BMHMYV11	Class R – GBP Shares (Inc)	GBP	
EPIC Wealth Fund IE00BMHMYW28	EUR Shares (Acc)	EUR	
EPIC Wealth Fund IE00BMHMYX35	EUR Shares (Inc)	EUR	
EPIC Wealth Fund IE00BMHMYY42	USD Shares (Acc)	USD	
EPIC Wealth Fund IE00BMHMYZ58	USD Shares (Inc)	USD	
EPIC Wealth Fund IE00BMHMZ073	CHF Shares (Acc)	CHF	
EPIC Wealth Fund IE00BMHMZ180	Class Y - CHF Shares (Acc)	CHF	

EPIC Oriental Focus Fund IE00BLDGD131	Class A – GBP Shares	GBP	
EPIC Oriental Focus Fund IE00BLDGD248	Class A – EUR Shares	EUR	
EPIC Oriental Focus Fund IE00BLDGD354	Class A – USD Shares	USD	
EPIC Oriental Focus Fund IE00BLDGD461	Class B – GBP Shares	GBP	
EPIC Oriental Focus Fund IE00BLDGD578	Class B – EUR Shares	EUR	
EPIC Oriental Focus Fund IE00BLDGD685	Class B – USD Shares	USD	

TEAM Multi-Asset Balanced Fund IE000BGIAIL6	Class A GBP	GBP	
TEAM Multi-Asset Balanced Fund IE0007MXGKN9	Class B GBP	GBP	
TEAM Multi-Asset Balanced Fund IE000BE9MXP7	Class C GBP	GBP	
TEAM Multi-Asset Balanced Fund IE000CFC28D6	Class A USD	USD	
TEAM Multi-Asset Balanced Fund IE000O0CGJS7	Class B USD	USD	
TEAM Multi-Asset Balanced Fund IE000RHICFP3	Class C USD	USD	

TEAM Multi-Asset Conservative Fund IE0006RSNI57	Class A GBP	GBP	
TEAM Multi-Asset Conservative Fund IE000GRJK647	Class B GBP	GBP	
TEAM Multi-Asset Conservative Fund IE000AXS9209	Class C GBP	GBP	
TEAM Multi-Asset Conservative Fund IE000EX9B8E8	Class A USD	USD	
TEAM Multi-Asset Conservative Fund IE00016W54B7	Class B USD	USD	
TEAM Multi-Asset Conservative Fund IE0007ENJLV2	Class C USD	USD	

TEAM Multi-Asset Growth Fund IE0000M9J298	Class A GBP	GBP	
TEAM Multi-Asset Growth Fund IE000MGVCI08	Class B GBP	GBP	
TEAM Multi-Asset Growth Fund IE000G2HH801	Class C GBP	GBP	
TEAM Multi-Asset Growth Fund IE00004P0IZ8	Class A USD	USD	
TEAM Multi-Asset Growth Fund IE00011Q2O48	Class B USD	USD	
TEAM Multi-Asset Growth Fund IE0003D4TQP9	Class C USD	USD	

	EPIC NEXT GENERATION GLOBAL BOND FUND IE000N59KBM1	USD (QUALIFIED DISTRIBUTING)	USD	
	EPIC NEXT GENERATION GLOBAL BOND FUND IE000SPWRW70	EUR HEDGED (QUALIFIED DISTRIBUTING)	EUR	
	EPIC NEXT GENERATION GLOBAL BOND FUND IE0009XKX9T3	GBP HEDGED (QUALIFIED DISTRIBUTING)	GBP	
	EPIC NEXT GENERATION GLOBAL BOND FUND IE000760KE87	USD (ACCUMULATING)	USD	
	EPIC NEXT GENERATION GLOBAL BOND FUND IE000KG700O6	EUR HEDGED (QUALIFIED ACCUMULATING)	EUR	
	EPIC NEXT GENERATION GLOBAL BOND FUND IE000B7FDEN9	GBP HEDGED (QUALIFIED ACCUMULATING)	GBP	
	EPIC NEXT GENERATION GLOBAL BOND FUND IE000YI2YEH3	EUR HEDGED (RETAIL ACCUMULATING)	EUR	
	ARTHA INDIA FUND ISIN	Founder (F) USD Accumulating	USD	
	ARTHA INDIA FUND ISIN	Founder (F) GBP Accumulating	GBP	

	ARTHA INDIA FUND ISIN	Retail (R) USD Accumulating	USD	
	ARTHA INDIA FUND ISIN	Retail (R) GBP Accumulating	GBP	
	ARTHA INDIA FUND ISIN	Retail (R) EUR Accumulating	EUR	

** The Company may (but is not obliged to) enter into certain currency related transactions in order to hedge the currency exposure of the Classes denominated in a currency other than the Base Currency, as described under the heading "Hedged Classes" in the Prospectus.*

Bank Account Details for Redemption and Distribution Payments	
Please list the details of the account to which redemption proceeds, and/or dividend distributions should be paid. Payments will only be made to a bank account held in the name of the registered shareholder. Redemptions will not be processed on non-cleared/verified accounts. In no event shall redemption proceeds be paid until all of the necessary AML checks have been carried out, verified and received in the format requested. IBAN Codes should be quoted for all banks within the EU/EEA. Amendments to investors' payment instructions will only be effected upon receipt of an instruction which has been duly authorised. In the case of joint accounts, instructions will only be made upon receipt of instruction duly signed by all applicants. The Administrator does not accept any responsibility for the bank account details quoted and any payments made using these details will be at your risk.	
Correspondent Bank Name	
Correspondent Bank Address	
Correspondent Bank Sort Code / ABA / Fedwire	
Beneficiary Bank Name	
Beneficiary Bank Address	
Beneficiary Bank Sort Code / ABA / Fedwire	
Beneficiary Account Name	
Beneficiary Account Number Reference	